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|--------------------------------------|---|---------------------|----|-----------------|
| Part A. PERSONAL INFORMATION | | CV date | | October 1, 2021 |
| First and Family name | Oriol Roca Sagalés | | | |
| Social Security, Passport, ID number | 35.114.093-Q | Age | 52 | |
| Researcher codes | WoS Researcher ID (*) | K-6415-2017 | | |
| | SCOPUS Author ID(*) | | | |
| | Open Researcher and Contributor ID (ORCID) ** | 0000-0002-5401-442X | | |

(*) At least one of these is mandatory (**) Mandatory

A.1. Current position

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|---------------------|---|--------|--|
| Name of University | Universitat Autònoma de Barcelona (UAB) | | |
| Department | Economía Aplicada | | |
| Address and Country | Edifici B, Campus UAB, 08193 Barcelona, Spain | | |
| Phone number | 935814583 | E-mail | Oriol.roca@uab.cat |
| Current position | Associate Professor (TU) | From | 1999 |
| Key words | Fiscal Policies, Government Quality, Decentralization | | |

A.2. Education

| PhD | University | Year |
|-------------------|-----------------------------------|------|
| Economía Aplicada | Universitat Autònoma de Barcelona | 1996 |

A.3. JCR articles, h Index, thesis supervised...

- Four recognised research tracts by CNEAI: 1997-2002; 2003-2008; 2009-2014 and 2015-2020 (last one recognized in May 31st, 2021).
- Recognition as 'Catedrático de Universidad' by the ANECA (on February 28th, 2018).
- I have supervised four Ph.D. theses (defended in 2011, 2015, 2017 and 2019, respectively), and I am currently supervising a fifth one, expected to be defended in December 2021.
- Total cites Web of Science: 442. Average cites per year in 2015-2020: 46.2 (1597 cites and 151 average at Google scholar)
- Publications in JCR-SCCI Q1: 5. Index h: 12 (Web of Science), 20 (Google scholar).

Part B. CV SUMMARY (max. 3500 characters, including spaces)

I have a degree in economic science by the Universitat Autònoma de Barcelona (1991) and a Ph.D. in Economics by the same university (1996). I became Associate Professor (Titular) in 1999.

My research lines focus on the study of the macroeconomic effects of different fiscal policies, the analysis of government quality determinants and the socioeconomic impact of decentralization, mostly from an empirical point of view. Specifically, my research lines can be grouped as follows:

1. Analysis of the effects of different fiscal policies on economic growth and income disparities (personal and regional) using different empirical methodologies (data panel models, vector autoregressive models, etc.).
2. Analysis of the relationship between government quality and decentralization as determinants of the outcomes of different public policies using data panel analysis approach.
3. Study of the determinants of the efficiency of the different public policies using non-parametric models and data panel.

I carry my research with different co-authors, who I share research projects with (see section C.2-CVA) and also collaborate with different institutions in order to increase the transference of knowledge, like Interamerican Development Bank, Instituto de Estudios Fiscales, Institut d'Estudis de l'Autogovern (see section C.3-CVA). The projects in which I have participated have always been on research and most of them obtained competitively. My research often takes me to academic stays in other institutions (see section C.4-CVA).



I have supervised 4 Ph.D. theses (defended in 2011, 2015, 2017 and 2019), and I am currently supervising a fifth one, expected to be defended in 2021. I have also directed about fifteen Master Thesis Dissertations, and periodically I carry out the evaluation of academic articles in national and international journals (see section C.5-CVA). Finally, my teaching career has led me to teach several subjects in different masters and undergraduate courses (or degree) in different faculties.

In terms of publications, my research has led to 26 papers published in JCR journal (5 of which in Q1 journals), plus another 4 papers in international journals indexed in Scopus, 10 more in national journals, and 4 book chapters in international books (published by Edward Elgar Publishing, Springer, Routledge and Nova Science, respectively). It is important to remark that we have been awarded the Martin Beckmann prize for best paper published in *Papers in Regional Science* in 2015. Full information about my publications can be found in <http://gent.uab.cat/oroca>.

I hold three recognised research tracts by CNEAI: 1997-2002; 2003-2008, 2009-2014 and 2015-2020 (last one recognized in May 31st, 2021), and I have obtained the recognition as 'Catedrático de Universidad' by the ANECA (on February 28th, 2018).

Regarding the interests and scientific objectives in the medium term, it is worth mentioning firstly the use of the panel analysis for the study of the fiscal policies focused now on the effects of the political budget cycle. Second, I will keep working on topics related to the study of the relationship between decentralization and regional disparities with the quality of institutions taking advantage of the new datasets referring to both variables that will allow expanding the sample of countries considered and deeply analyze the specific characteristics of different ways of decentralizing.

Part C. RELEVANT MERITS

C.1. Publications (10 selected contributions from 2011)

1. Kyriacou, A.P. and Roca Sagalés, O. 2021, "Does Decentralizing Public Procurement Affect the Quality of Governance? Evidence from Local Government in Europe", *Local Government Studies*, vol. 47(2), pp. 208-233. JCR Impact Factor: 2.726 Q2 (72.7%). DOI: 10.1080/03003930.2020.1729749.
2. Kyriacou, A.P. and Roca Sagalés, O. 2019, "Local Decentralization and the Quality of Public Services in Europe", *Social Indicators Research*, vol. 145(2), pp. 755-776. JCR Impact Factor: 1.874 Q2 (72.7%). DOI: 10.1007/s11205-019-02113-z.
3. Kyriacou, A.P. Muínelo-Gallo L. and Roca Sagalés, O. 2019, "The efficiency of transport infrastructure investment and the role of government quality: an empirical analysis", *Transport Policy*, vol. 74(1), pp. 93-102. JCR Impact Factor: 3.382 Q1 (87.7%). DOI: 10.1016/j.tranpol.2018.11.017.
4. Kyriacou, A.P. Muínelo-Gallo L. and Roca Sagalés, O. 2018, "Redistributive Efficiency in 28 Developed Economies", *Journal of European Social Policy*, vol. 28 (4), pp. 370-385. JCR Impact Factor: 2.119 Q2 (67.9%). DOI: 10.1177/0958928717739245.
5. Kyriacou, A.P., L. Muínelo-Gallo, and Roca-Sagalés O. 2017, 'Regional Inequalities, Fiscal Decentralization and Government Quality', *Regional Studies*, 51 (6), pp. 945-957. JCR Impact Factor: 3.147 Q1 (89.9%). DOI: 10.1080/00343404.2016.1150992.
6. Heller, W.B., Kyriacou, A.P. and Roca Sagalés, O. 2016, "Institutional Checks and Corruption: The Effect of Formal Agenda Access on Governance", *European Journal of Political Research*, 55, pp. 681-701. JCR Impact Factor: 2.891 Q1 (92.4%). DOI: 10.1111/1475-6765.12155.
7. Kyriacou, A.P., L. Muínelo-Gallo, and Roca-Sagalés O. 2015, 'Construction Corrupts: Empirical Evidence from a Panel of 42 Countries', *Public Choice*, vol. 165(1), pp. 123-145. JCR Impact Factor: 0.900 Q2 (55.5%). DOI: 10.1007/s11127-015-0297-0.
8. Kyriacou, A.P. Muínelo-Gallo L. and Roca Sagalés, O. 2015, "Fiscal Decentralization and Regional Disparities: The Importance of Good Governance", *Papers in Regional Science*, vol. 94 (1), pp. 89-107. JCR Impact Factor: 1.144 Q2 (63.9%). DOI: 10.1111/pirs.12061.



9. 10. Muinelo-Gallo L. and Roca Sagalés, O. 2013, 'Joint Determinants of Fiscal Policy, Income Inequality and Economic Growth', *Economic Modelling*, vol. 30 (1), pp. 814-824. JCR Impact Factor: 0.736 Q3 (46.4%). DOI:10.1016/j.econmod.2012.11.009.
10. Kyriacou, A.P. and Roca Sagalés, O. 2011, "Fiscal and Political Decentralization and Government Quality", *Environment and Planning C (Government and Policy)* 29 (2), pp. 204-223. JCR Impact Factor: 1.161 Q1 (81.1%). DOI: 10.1068/c11140r.

C.2. Research projects and grants (last 5 years)

Reference: **PID2019-104723RB-I00**

Title: Instituciones y los Nuevos Retos Socioeconómicos.

Funding entity: Ministerio de Ciencia e Innovación (CICYT).

Principal Researcher: Oriol Roca (Universitat Autònoma de Barcelona).

Start date: June, 2020 End date: May, 2023

Funds (euros): 21.417 Participation: Main researcher (IP)

Reference: **2017SGR1301**

Title: 'Grup de Recerca en Economia Aplicada'.

Funding entity: Direcció General de Recerca, Generalitat de Catalunya.

Principal Researcher: Anna Matas (Universitat Autònoma de Barcelona).

Start date: January, 2017 End date: December, 2020.

Funds (euros): 35.420 Participation: Researcher

Reference: **ECO2016-75623-R**

Title: Calidad Institucional, Descentralización y Políticas Públicas.

Funding entity: Ministerio de Educación y Ciencia (CICYT).

Principal Researcher: Oriol Roca (Universitat Autònoma de Barcelona).

Start date: January, 2017 End date: December, 2019

Funds (euros): 15.200 Participation: Main researcher (IP)

Reference: **SGR2014-239**

Title: 'Grup de Recerca en Mercats, Institucions i Redistribució'.

Funding entity: Direcció General de Recerca, Generalitat de Catalunya.

Principal Researcher: Andreas Kyriacou (Universitat de Girona).

Start date: January, 2014 End date: December, 2016.

Funds (euros): 21.000 Participation: Researcher

C.3. Contracts (last 5 years)

Title: 'Factores condicionantes del ciclo político del presupuesto: un análisis empírico'.

Funding entity: Instituto de Estudios Fiscales, Ministerio de Economía y Hacienda.

Principal Researcher: Andreas Kyriacou (Universitat de Girona).

Start date: March, 2019 End date: January, 2020.

Funds (euros): 5.000 Participation: Researcher

Title: 'Autogobierno, Contratación Pública y Calidad de Gobierno: Un Análisis Empírico de los Países de la UE'.

Funding entity: Instituto de Estudios del Autogobierno (Generalitat de Catalunya).

Principal Researcher: Andreas Kyriacou (Universitat de Girona).

Start date: Decembre, 2017 End date: Decembre, 2019.

Funds (euros): 32.000 Participation: Researcher



Title: 'La eficiencia de la inversión en infraestructuras y el rol de las instituciones: Un análisis empírico'.

Funding entity: Instituto de Estudios Fiscales, Ministerio de Economía y Hacienda.

Principal Researcher: Oriol Roca (Universitat Autònoma de Barcelona).

Start date: March, 2017 End date: January, 2018.

Funds (euros): 7.000 Participation: Main researcher (IP)

Title: 'Fiscal Transfers in Latin America and the Caribbean: What Are Their Composition and Cyclicity Patterns? A Complete Dataset of Fiscal transfers in Uruguay (1981-2015).

Funding entity: Banco Interamericano de Desarrollo (IADB).

Principal Researcher: Leonel Muinelo-Gallo (Universidad de la República, Montevideo)

Start date: December 2016 End date: August, 2017.

Funds (USD): 30.000 Participation: Researcher

Title: 'Transferencias intergubernamentales y disparidades fiscales a nivel subnacional en América Latina y el Caribe. Estudio de caso de país: Uruguay.

Funding entity: Banco Interamericano de Desarrollo (IADB).

Principal Researcher: Leonel Muinelo-Gallo (Universidad de la República, Montevideo)

Start date: May, 2015 End date: November, 2015.

Funds (USD): 20.000 Participation: Researcher

C.4. Patents

C.5. International Stays (from 2010)

- *Hitotsubashi University, Institute of Economic Research*, Tokio, Japan (2019: 2 weeks; 2018, 4 weeks);
- *University College of Dublin – Geary Institute for Public Policy*, Ireland (2016, 2 weeks);
- *University of Toronto - School of Public Policy and Governance*, Canadá (2015, 4 weeks);
- *Brunel University - School of Social Sciences*, Reino Unido (2011, 4 weeks);
- *Binghamton University (SUNY) - Department of Political Sciences*, EEUU (2010, 4 weeks);

C.6. Referee for:

Journal of Economic Geography, Papers in Regional Science, Regional Studies, Journal of Regional Science, Regional Science and Urban Economics, Transport Policy, Journal of Economic Studies, The Annals of Regional Science, Environmental and Planning C, Public Finance Review, Journal of Institutional Economics, Contemporary Economics, Regulation and Governance, Bulletin of Economic Research, Publius, Case Studies on Transport Policy, Sustainable Cities and Society, Journal of International Development, European Journal of Development Research, Revista de Economía Aplicada, and Hacienda Pública Española. I have been also referee for the following research institutions: *Czech Science Foundation, The Israel Science Foundation (ISF), and the Swiss National Science Foundation (SNSF).*

C.7. (e. g., Institutional responsibilities, memberships of scientific societies...)

In terms of institutional responsibilities, I have been at the Faculty of Economics and Business at the UAB:

- Since September 2018 I am the Deputy Dean of Economy and Students.
- Coordinator of the degree of Economics during the period 2003-2007.
- Member of the Commission of the Master of Economics and Business Administration (MEBA) between 2011-2015.
- Academic coordinator of the UAB Study Abroad program during the period 2008-2014, organizing undergraduate courses (in English) for the more than 1,500 non-Erasmus foreign students who every year take courses at the UAB. This task responds to my commitment to the internationalization of the university.